SENTRY PLATFORM INVESTMENTS

# Structured Income

Client application pack · product summary · benchmark · risk assessment

| RETURN PROFILE | TERM | RISK |
|---|---|---|
| **12.65% p.a.** | **Year 1–Year 7** | **MODERATE / 3 OF 5** |
| Fixed product rate; compounded | Current application terms | Moderate private-market investment |

PRODUCT STATUS: LifeCycle code SENA, Series A. Current launch terms are Year 1–Year 7. The underlying Alpha Upgrade strategy has sponsor-confirmed positive operating history exceeding 36 months and approximately R200 million of underlying managed capital; this is not wrapper AUM, independent performance verification or a guarantee.

**One product. One route. One investor decision. This pack applies only to the product and route named above.**

| | |
|---|---|
| **Partnership** | Sentry Capital Private Equity Master En-Commandite Partnership |
| **General Partner** | Sentry Capital (Pty) Ltd · Registration 2024/388273/07 |
| **Regulatory position** | Regulated financial services are rendered only within the authorised categories, approved product scope, representative authority and applicable mandate of Al Mabroor Capital (Pty) Ltd t/a AM Capital, FSP 53479. Where applicable, Category I advice and intermediary services remain distinct from Category II discretionary management. A discretionary decision may be taken only by an appropriately authorised person under a valid written mandate. Sentry Capital's current juristic-representative status, product scope and representative authority are verified during acceptance. CRM access does not expand any licence, appointment or mandate. |
| **Prepared under** | Louis J. Pieterse · CIO / Head of Structures |
| Application status | FINAL CLIENT APPLICATION. Participation remains subject to eligibility, completed KYC/FICA, written acceptance and verified funding instructions. |

# What the investor is applying for

A concise, product-specific summary. The accepted application, platform or nominee terms, applicable Series Supplement, approved Fund Fact Sheet and final fee disclosure define the applicant's contractual participation.

| | |
|---|---|
| **Product / Series** | Structured Income · Series A |
| **Access route** | Contractual participation through the approved platform/nominee route under LifeCycle code SENA. Platform access does not remove the underlying investment risks. |
| **Investment objective** | Seek an income-oriented outcome from verified purchase-order, trade-finance and other short-duration contractual private-credit cash flows. Capital may be recycled across approved transactions during the investor term. |
| **Underlying mandate** | Alpha Upgrade-style purchase-order, receivables and related short-duration private-credit transactions. Platform participation is recorded through LifeCycle code SENA. |
| **Investor return basis** | 12.65% p.a. fixed product rate, compounded, before investor-specific initial, ongoing, LifeCycle administration and tax charges unless the executed Product Supplement expressly states otherwise. Issuer performance remains subject to the governing documents and is not guaranteed by Sentry. |
| **Term / availability** | Year 1–Year 7 at current launch, subject to capacity, written acceptance and the executed Product Supplement. |
| **Distribution basis** | Compounding and settlement follow the accepted route schedule. No interim cash distribution is promised by this application pack. |
| **Minimum contribution** | As shown in the current platform, nominee and application rules. |
| **Currency** | South African rand (ZAR), unless the accepted product documents state otherwise. |
| **Liquidity / withdrawals** | Term-based and illiquid. Platform availability does not create exchange liquidity or an unconditional early-redemption right. Investors should be able to hold for the selected term. |
| **Valuation / reporting** | The secure Sentry Capital CRM Client Login gives 24/7 access, subject to authentication, maintenance, availability and legal/security controls, to approved documents, route/provider statements, available supporting records and applicable weekly, monthly, quarterly and material-event updates. The accepted Product Supplement and platform/nominee terms govern formal reporting obligations. Private-asset valuations remain judgemental. |
| **Tax status** | Investor-specific. No tax result, capital-gains treatment or deductibility is promised. Obtain independent South African and, where relevant, foreign tax advice. |

STRATEGY HISTORY  Sentry confirms positive operating history of more than 36 months and approximately R200 million of current managed capital for the underlying Alpha Upgrade strategy. This is sponsor-confirmed, not a guarantee. No independently verified Sentry-wrapper performance series is presented.

PLATFORM · Series A · RETURN & BENCHMARK

# Return illustration and market reference

R100,000 illustration before investor-specific tax. It is not a quotation, guarantee or historical performance record.

| TERM | MONTHS | ILLUSTRATIVE VALUE | CUMULATIVE RETURN |
|---|---|---|---|
| **Y1** | 12 months | R112 650 | 12.65% |
| **Y2** | 24 months | R126 900 | 26.90% |
| Y3 | 36 months | R142 953 | 42.95% |
| Y4 | 48 months | R161 037 | 61.04% |
| Y5 | 60 months | R181 408 | 81.41% |
| Y6 | 72 months | R204 356 | 104.36% |
| Y7 | 84 months | R230 207 | 130.21% |

CALCULATION BASIS Future value = capital × (1 + 12.65%)^years, assuming annual compounding, no withdrawal and before investor-specific fees and tax. Year 1–Year 7 launch terms remain subject to written acceptance.

## REFERENCE BENCHMARK

| | |
|---|---|
| **Reference comparator** | 3-month JIBAR + 5 percentage points |
| **Dated comparator value** | 12.00% p.a. |
| **Source basis** | Reference snapshot: 3-month JIBAR 7.00% on 20 August 2026 plus 5 percentage points = 12.00% p.a. Source: South African Reserve Bank Current Market Rates. |
| **Product comparison** | 12.65% product rate versus 12.00% comparator: +0.65 percentage points. |
| **Use** | Reporting comparator only. It is not a guarantee, contractual floor or fee hurdle unless the accepted product documents expressly state otherwise. |
| Snapshot note | Snapshot note - the comparator is dated and may change. Later fact sheets may use a more recent published value. |

# What may be charged - and what can go wrong

The charges below reflect the current client-facing assumptions for this route. The signed fee and Effective Annual Cost disclosures apply to an accepted investment.

| ITEM | CURRENT RATE / BASIS | TIMING AND TREATMENT |
|---|---|---|
| Initial client fee | 1.50% once-off in Year 1 | Charged once in Year 1 under the signed client fee disclosure. The signed disclosure records the calculation base, recipient, deduction timing and applicable VAT. No repeat initial fee applies to properly tagged rolled or returning capital. |
| Ongoing broker fee | 0.50% p.a. from Year 2, subject to written client mandate | Ongoing broker/adviser fee from Year 2, only under a written client fee mandate and Record of Advice where applicable. |
| LifeCycle administration — VIP tariff | 0.35% p.a. first R1m; 0.20% next R2m; 0.10% above R3m, VAT-exclusive | Accrued daily on client asset value and deducted monthly, plus VAT. Any other applicable wrapper tariff is disclosed in the accepted cost schedule before investment. |
| R100,000 broker-fee illustration | Y1 R1 500 · Y2 R500 · Y3 R500 | Illustrates client broker fees only: 1.50% once in Year 1, then 0.50% p.a. from Year 2 under a written mandate. Platform administration, tax and any confirmed VAT treatment are excluded. |

CLIENT COST DISCLOSURE  Complete and acknowledge the applicable EAC, advice-fee and route schedule before signing. If the stated return profile is gross, accepted charges reduce the investor's realised net outcome.

**PRODUCT RISK ASSESSMENT - OVERALL: MODERATE / 3 OF 5**

| RISK DRIVER | CONTROL POSITION | RISK MANAGEMENT EVIDENCE |
|---|---|---|
| **Obligor / supplier credit** | Residual - monitored | Independent counterparty, order and settlement verification; exposure limits; collection control. |
| **Document fraud / performance** | Verified before funding | Purchase-order authenticity, beneficiary checks, delivery evidence and exception logs. |
| **Security / recoverability** | Transaction-specific | Cessions, pledges, guarantees, insurance and enforceability reviewed transaction by transaction. |
| **Liquidity / maturity mismatch** | Term-matched | Term matching, reserves, reinvestment gates, withdrawal queue and suspension mechanics. |
| **Concentration / correlation** | Limited and monitored | Payer, supplier, sector, tenor and transaction exposure limits. |
| **Valuation / operational control** | Evidence-based | Evidence-based NAV, reconciliations, contractual payment-flow controls and escalation. |

RISK CLASSIFICATION BASIS  Sentry Capital classifies the product risk as Moderate / 3 of 5. Short-cycle verified payment events, controlled drawdowns and collections, repeat underwriting and sponsor-reported positive operating history reduce exposure. Residual risks include obligor default, fraud or dispute, enforceability, concentration, illiquidity and delayed repayment. This is not an external rating, guarantee or client risk-profile outcome.

PLATFORM · Series A · APPLICATION ROUTE

# Before you complete the form

Participation is conditional. Completing or signing this pack does not itself create an investment or authorise capital deployment.

1. Before signing, read and retain the applicable platform or nominee terms, Series Supplement, current approved Fund Fact Sheet, final fee/EAC disclosure, privacy notice and complaints process.
2. Confirm that this exact product, route, term and investment window are active. Route identity: LifeCycle platform code SENA.
3. Complete applicant, beneficial-owner, tax-residency, source-of-funds/source-of-wealth, prominent-person and sanctions information.
4. Where advice is provided, the authorised adviser completes suitability, conflicts, fees, licence and record-of-advice fields. Execution-only status must not be used to avoid an advice obligation.
5. Submit the application and supporting documents for KYC/FICA, sanctions, regulatory and eligibility checks.
6. Only after written acceptance may the investor use the separately issued, verified funding instruction. Never pay an adviser, broker or an account copied from an unverified source.

**ANTI-FRAUD CONTROL** Banking details are not printed in this pack. Confirm every funding instruction through an independently verified Sentry / administrator contact before payment. Third-party payments may be rejected.

## APPLICATION CONTROL

**APPLICATION REFERENCE**
*[Assigned by administrator]*

**APPLICANT TYPE**
☐ *Natural person* ☐ *Company / CC* ☐ *Trust* ☐ *Partnership / club* ☐ *Other*

**NEW / RETURNING CAPITAL**
☐ *New external capital* ☐ *Rolled / returning Sentry capital - prior investor reference: [Enter]*

**ADVICE BASIS**
☐ *Advice and intermediary service* ☐ *Intermediary service only*
☐ *No adviser / direct enquiry - compliance to confirm*

**PRODUCT / SERIES**
*Structured Income · Series A*

**ROUTE / CODE**
*LifeCycle platform code SENA*

**APPLICANT FULL NAME**
*[Enter exactly as on identity / constitutive document]*

**SUBMISSION DATE**
*[DD / MM / YYYY]*

IMPORTANT DOCUMENTS The accepted application, applicable platform or nominee terms, Series Supplement, current approved Fund Fact Sheet, final fee/EAC disclosure and any separately signed FAIS mandate must be read together. A Record of Advice must also be supplied where advice is given. Ask the platform, Sentry Capital or your authorised adviser for written clarification before funding if any information is unclear or inconsistent.

PLATFORM · Series A · NATURAL PERSON DETAILS

# Natural-person applicant

Complete this page only if the applicant is an individual. Juristic applicants complete the next page.

**TITLE**
*[Enter]*

**SURNAME**
*[Enter]*

**FULL FIRST NAMES**
*[Enter]*

**PREFERRED NAME**
*[Enter]*

**SA ID / PASSPORT NO.**
*[Enter]*

**PASSPORT COUNTRY / EXPIRY**
*[Enter]*

**DATE OF BIRTH**
*[DD / MM / YYYY]*

**NATIONALITY / CITIZENSHIP**
*[Enter all]*

**OCCUPATION**
*[Enter]*

**EMPLOYER / BUSINESS**
*[Enter]*

**MOBILE**
*[Enter]*

**EMAIL**
*[Enter]*

**PHYSICAL ADDRESS**
*[Street, suburb, city, province, postal code, country]*

**POSTAL ADDRESS**
*[If different]*

**SA INCOME-TAX NO.**
*[Enter]*

**COUNTRY OF TAX RESIDENCE**
*[Enter all; complete tax table on page 8]*

**MARITAL STATUS**
☐ *Single* ☐ *Married* ☐ *Civil union* ☐ *Divorced* ☐ *Widowed*

**MARRIAGE REGIME**
☐ *In community* ☐ *Out of community* ☐ *Other / foreign*

**SPOUSE / PARTNER NAME**
*[If relevant]*

**SPOUSE ID / PASSPORT**
*[If relevant]*

## COMMUNICATION & ACCESSIBILITY

**PREFERRED CORRESPONDENCE**
☐ *Email* ☐ *Mobile* ☐ *Postal* ☐ *Adviser*

**PREFERRED LANGUAGE**
☐ *English* ☐ *Afrikaans* ☐ *Other: [Enter]*

**ACCESSIBLE FORMAT REQUIRED**
☐ *No* ☐ *Yes - describe: [Enter]*

**EMERGENCY / ALTERNATE CONTACT**
*[Name, relationship, phone - optional; not authorised to transact]*

PLATFORM · Series A · JURISTIC PERSON & BENEFICIAL OWNERSHIP

# Entity, trust or other juristic applicant

Complete the entity profile and identify every natural person who ultimately owns or controls the applicant under the current RMCP and FIC guidance.

**LEGAL NAME**
*[Enter]*

**TRADING NAME**
*[Enter]*

**ENTITY TYPE**
☐ *Company* ☐ *CC* ☐ *Trust* ☐ *Partnership* ☐ *Club / association* ☐ *Other*

**REGISTRATION / TRUST NO.**
*[Enter]*

**COUNTRY / DATE FORMED**
*[Enter]*

**NATURE OF BUSINESS**
*[Enter]*

**REGISTERED ADDRESS**
*[Enter]*

**PRINCIPAL PLACE OF BUSINESS**
*[Enter]*

**ENTITY TAX NO.**
*[Enter]*

**VAT NO.**
*[If applicable]*

**AUTHORISED SIGNATORY**
*[Full name and capacity]*

**AUTHORITY DOCUMENT**
☐ *Resolution* ☐ *Trust authority* ☐ *Mandate* ☐ *Other*

## ULTIMATE BENEFICIAL OWNERS / CONTROLLING PERSONS

| FULL NAME | ID / PASSPORT | COUNTRY | OWNERSHIP / CONTROL BASIS | PEP / DPIP / FPPO |
|---|---|---|---|---|
| **[Enter]** | [Enter] | [Enter] | [% and/or control role] | ☐ No ☐ Yes |
| **[Enter]** | [Enter] | [Enter] | [% and/or control role] | ☐ No ☐ Yes |
| **[Enter]** | [Enter] | [Enter] | [% and/or control role] | ☐ No ☐ Yes |
| **[Attach schedule if needed]** | | | | |

**LOOK-THROUGH REQUIRED** Provide the complete ownership and control chain to the ultimate natural persons. For trusts, identify the founder/settlor, trustees, beneficiaries or class of beneficiaries, protectors and any other person exercising effective control, as required by the current RMCP.

PLATFORM · Series A · BANK · TAX · AML

# Payment, tax-residency and financial-crime declarations

The applicant's own bank account is used for verified subscriptions and distributions unless Compliance approves an exception.

## APPLICANT BANK ACCOUNT

**ACCOUNT HOLDER**
*[Must match applicant]*

**BANK**
*[Enter]*

**ACCOUNT NUMBER**
*[Enter]*

**BRANCH / UNIVERSAL CODE**
*[Enter]*

**ACCOUNT TYPE**
☐ *Current* ☐ *Savings* ☐ *Other*

**PROOF ATTACHED**
☐ *Bank letter / statement* ☐ *Electronic verification*

## SOURCE OF FUNDS & SOURCE OF WEALTH

**IMMEDIATE SOURCE OF INVESTMENT FUNDS**
☐ *Savings* ☐ *Salary/bonus* ☐ *Business income* ☐ *Asset sale* ☐ *Inheritance* ☐ *Investment proceeds* ☐ *Loan* ☐ *Other*

**EVIDENCE**
*[Bank statements, sale agreement, payslip, audited accounts or other evidence]*

**SOURCE OF TOTAL WEALTH**
*[Employment/business/asset history and approximate value bands]*

**THIRD-PARTY INVOLVEMENT**
☐ *None* ☐ *Yes - explain payer, relationship and economic purpose*

**EXPECTED ACTIVITY**
*[Subscription amount, expected additions/distributions and frequency]*

**PURPOSE OF INVESTMENT**
*[Income, growth, diversification or other stated objective]*

## TAX RESIDENCY · FATCA · CRS

| COUNTRY OF TAX RESIDENCE | TAX IDENTIFICATION NO. (TIN) | NO TIN REASON | US PERSON? |
|---|---|---|---|
| **[Enter]** | [Enter] | [If no TIN, explain] | ☐ No ☐ Yes |
| **[Enter]** | [Enter] | [If no TIN, explain] | ☐ No ☐ Yes |

**[Attach schedule if needed]**

**SELF-CERTIFICATION** I/we certify that the tax-residency information is complete and reasonable, will provide entity/controlling-person classification and GIIN details where applicable, and will notify the administrator promptly of any change in circumstances.

## PROMINENT PERSONS · SANCTIONS · ADVERSE INFORMATION

**PROMINENT PUBLIC / INFLUENTIAL STATUS**
*Are the applicant, any beneficial owner, authorised person, close family member or known close associate a foreign politically exposed person, domestic politically exposed/prominent influential person, or former holder? ☐ No ☐ Yes - attach details*

**SANCTIONS / RESTRICTIONS**
*Is any relevant person subject to sanctions, asset-freeze, disqualification, insolvency, sequestration or regulatory restriction? ☐ No ☐ Yes - attach details*

**ADVERSE INFORMATION**
*Any pending or concluded criminal, fraud, corruption, money-laundering, market-conduct or material civil matter? ☐ No ☐ Yes - attach details*

**CONSENT**
*I/we consent to identity, beneficial-ownership, sanctions, prominent-person, adverse-media and source-of-funds verification and ongoing monitoring.*

PLATFORM · Series A · INVESTMENT INSTRUCTION & SUITABILITY

# Your product election

Complete only for the product and route named in this pack. No switch or cross-product election is created here.

**PRODUCT**
*Structured Income · Series A*

**ROUTE**
*Sentry Platform Investments*

**SUBSCRIPTION AMOUNT**
*R [Enter amount]*

**TERM ELECTION**
☐ *Year 1* ☐ *Year 2* ☐ *Year 3* ☐ *Year 4* ☐ *Year 5* ☐ *Year 6* ☐ *Year 7*

**RETURN INSTRUCTION**
☐ *Reinvest / compound where permitted* ☐ *Pay at maturity* ☐ *Other option expressly available in Supplement*

**MATURITY INSTRUCTION**
☐ *Capital + growth* ☐ *Growth only and roll principal, if an approved continuation window exists* ☐ *Contact me before maturity*

**FUNDING SOURCE ACCOUNT**
*[Applicant bank account reference from page 8]*

**EXPECTED FUNDING DATE**
*[DD / MM / YYYY]*

**PRIOR SENTRY INVESTOR REFERENCE**
*[If returning / rolled capital]*

**CONCENTRATION AFTER INVESTMENT**
*[Estimated % of total investable assets]*

## INVESTOR NEEDS & SUITABILITY RECORD

| | |
|---|---|
| **Primary objective** | ☐ Income ☐ Capital growth ☐ Diversification ☐ Other: [Enter] |
| **Investment horizon** | Selected term: [Enter] · ability to remain invested through term: ☐ Yes ☐ No |
| **Liquidity** | Emergency reserve held outside this product: ☐ Yes ☐ No · foreseeable need for these funds before maturity: ☐ No ☐ Yes |
| **Risk tolerance** | ☐ Low ☐ Moderate ☐ High · Sentry Capital product classification: Moderate / 3 of 5. Client risk-profile outcome and suitability assessed separately: ☐ Confirmed ☐ Refer |
| **Loss capacity** | Could sustain a material or total loss without compromising essential obligations: ☐ Yes ☐ No |
| **Knowledge / experience** | ☐ Private credit ☐ Private equity ☐ Alternatives ☐ Agriculture/operating assets ☐ Media/IP ☐ None |
| **Portfolio fit** | Expected portfolio concentration and correlation considered: ☐ Yes ☐ No · explanation: [Enter] |
| **Advice outcome** | ☐ Suitable and recommended ☐ Execution/intermediary only, no recommendation ☐ Not suitable - do not proceed |

STOP CONDITION If the investor cannot remain invested for the term, cannot absorb material loss, does not understand the product or the adviser cannot evidence suitability, the application must not proceed unless the suitability concern is resolved and documented before acceptance.

# Financial adviser / broker section

To be completed by the person rendering advice or intermediary services. Attach the Record of Advice where applicable.

**ADVISER / BROKER FULL NAME**
*[Enter]*

**BROKERAGE / FSP**
*[Enter legal name]*

**FSP NUMBER**
*[Enter and verify]*

**REPRESENTATIVE NUMBER / STATUS**
*[Enter and verify]*

**LICENCE CATEGORY / SUBCATEGORY**
*[Confirm this product is within authority]*

**KEY INDIVIDUAL / SUPERVISION**
*[If applicable]*

**EMAIL / MOBILE**
*[Enter]*

**ADVICE DATE / PLACE**
*[Enter]*

**SERVICE BASIS**
☐ *Advice + intermediary* ☐ *Intermediary only* ☐ *Other*

**RECORD OF ADVICE**
☐ *Attached* ☐ *Not applicable - reason: [Enter]*

## REMUNERATION & CONFLICT DISCLOSURE

| ITEM | DISCLOSURE | STATUS |
|---|---|---|
| Initial client fee | 1.50% once-off in Year 1, subject to the signed client fee disclosure | ☐ Disclosed and accepted ☐ Not payable |
| Ongoing broker fee | 0.50% p.a. from Year 2, only under a written client fee mandate | ☐ Disclosed and accepted ☐ Not payable |
| **Other remuneration** | [Rand amount / percentage / basis / payer] | ☐ None ☐ Disclosed |
| **Ownership / business relationship** | [Any product-supplier, GP, administrator, asset-manager or referral relationship] | ☐ None ☐ Disclosed |
| **Non-cash benefit / referral** | [Describe nature and estimated value] | ☐ None ☐ Disclosed |
| **Conflict management** | Conflict identified, mitigated and recorded under approved policy | ☐ Yes ☐ No / escalate |

**ADVISER DECLARATION** I confirm that I acted honestly, fairly, with due skill, care and diligence; disclosed material product information, risks, fees, relationships and conflicts in plain language; established the investor's needs and circumstances where advice was provided; and gave the investor the approved documents and a copy of the signed application / Record of Advice.

**ADVISER SIGNATURE**
*[Sign]*

**NAME**
*[Print]*

**DATE**
*[DD / MM / YYYY]*

FSP REVIEW
[Sign / reference]

NAME / CAPACITY
[Print]

DATE
[DD / MM / YYYY]

# How information is used and how to complain

Use the verified servicing contacts in your written acceptance and the external complaints channels shown below.

## POPIA PRIVACY NOTICE - PACK SUMMARY

- Purpose: assess and administer the application; conduct KYC/FICA, sanctions, tax, suitability and fraud controls; manage the investment; administer secure CRM access; provide statements and applicable reports; meet legal, audit, regulatory and reporting duties.
- Recipients may include the General Partner, AM Capital, the appointed administrator, LifeCycle/platform, custodian/nominee, bank, advisers, auditors, legal/tax/compliance providers, asset managers and competent authorities, only as lawfully required.
- Information may be stored or processed outside South Africa only with an applicable lawful basis and safeguards. Records may be retained for statutory, contractual, dispute and audit periods.
- The applicant may request access, correction or deletion where legally available, object to certain processing and complain to the responsible party or Information Regulator.
- Mandatory data is needed to assess and operate the relationship. Failure to provide it may result in delay, rejection, restriction or termination.

☐ I/we acknowledge the privacy notice and consent where consent is the applicable lawful basis. I/we understand that certain processing is also required by law, contract or legitimate interest.

☐ I/we agree to receive and access statements, notices, tax documents and investment communications electronically at the verified contact details supplied and, once activated, through the secure Sentry Capital CRM Client Login.

OPTIONAL MARKETING - choose one: ☐ Yes, send product/news updates electronically ☐ No. This choice does not affect the application and may be changed at any time.

REPORTING CONTROL - CRM access supplements formal reporting and does not waive any periodic-reporting duty. Any legally permitted Category II electronic-access reporting election must be optional, separate and recorded in writing.

## COMPLAINTS PROCESS

| | |
|---|---|
| **Step 1 · complain in writing** | Submit the complaint through the verified servicing FSP/representative contact stated in the written acceptance. General AM Capital contact: info@almabroorprojects.com · 021 200 0717 · Block A, Unit A9, Octo Place, 7 Electron Road, Technopark, Stellenbosch, 7600. Include the applicant name, product, date, facts, remedy requested and supporting documents. |
| Step 2 · FSP response | The responsible FSP/representative must acknowledge, investigate and manage the complaint under its approved complaints process. Keep copies and proof of submission. |
| **Step 3 · external escalation** | If an eligible FAIS complaint remains unresolved after six weeks, contact the FAIS Ombud: info@faisombud.co.za · 012 762 5000 · 086 066 3274 · 125 Dallas Avenue, Menlyn Central, Waterkloof Glen, Pretoria 0010 · www.faisombud.co.za. |
| **Licence verification** | Verify the responsible FSP, authorised categories and representative status using the FSCA regulated-entity search before investing. |
| **POPIA complaint** | Information Regulator: POPIAComplaints@inforegulator.org.za · 010 023 5200 · 0800 017 160 · inforegulator.org.za/complaints/. |

CLIENT LOGIN AND RECORDS Once activated, the secure Sentry Capital CRM on the website Client Login provides 24/7 access, subject to authentication, maintenance, availability and legal or security controls, to the applicable platform or nominee terms, Series Supplement, approved Fund Fact Sheet, fee/EAC disclosures, accepted application, statements, available supporting and backup records, and applicable weekly, monthly, quarterly and material-event investment updates. Available documents may be opened, downloaded and retained. CRM content is reporting information only: it does not change product terms, constitute advice or an instruction, authorise a discretionary decision, or replace a signed mandate, Record of Advice or formal notice. CRM access does not waive any periodic reporting duty unless the client makes a separate written election where law permits. Keep credentials confidential and report suspected unauthorised access immediately.

# Read, initial and sign

This is an application for contractual participation through the approved platform or nominee route. It is not an application for direct Limited Partner admission. Participation begins only after the approvals required by the platform, administrator and Sentry Capital, as applicable, satisfactory KYC/FICA and funding under verified instructions. Signing or submitting this application alone does not activate the investment.

CLIENT DOCUMENTS The applicant must receive the applicable platform or nominee terms, Series Supplement, current approved Fund Fact Sheet, final fee/EAC disclosure, privacy notice and complaints process before signing.

| | | |
|---|---|---|
| 1 | I/we apply for contractual participation through the approved platform or nominee route. I/we understand that this application does not create direct Limited Partner status. | Initial _____ |
| 2 | I/we confirm that, before signing, I/we received and could open, download and retain the applicable platform or nominee terms, Series Supplement, current approved Fund Fact Sheet, final fee/EAC disclosure, privacy notice, complaints process and this application. I/we had reasonable time to read them, ask questions and obtain independent professional advice. | Initial _____ |
| 3 | I/we understand the mandate, return basis, term, illiquidity, valuation, fees, tax uncertainty and product-specific risks. Capital and returns are not guaranteed and may be reduced, delayed or lost. | Initial _____ |
| 4 | I/we understand that targets, benchmarks, forecasts, illustrations and underlying-manager or project figures are not promises of investor performance. | Initial _____ |
| 5 | I/we understand that there is no general early-exit right or active secondary market. Withdrawal, transfer, queue, gate, suspension, in-kind settlement and delay provisions may apply. | Initial _____ |
| 6 | I/we understand that platform access is not exchange liquidity, is not a bank deposit and does not provide a capital guarantee. | Initial _____ |
| 7 | I/we confirm that the information and supporting documents are complete, accurate and not misleading; the funds and wealth are lawful; and changes in identity, control, tax residence, prominent-person status, sanctions or banking details will be disclosed promptly. | Initial _____ |
| 8 | I/we authorise reasonable verification, screening, reporting and record retention and agree that the application may be delayed, rejected, restricted or terminated where legal, compliance, eligibility or evidence requirements are not met. | Initial _____ |
| 9 | I/we did not rely on any guarantee, liquidity promise, tax promise or other representation not recorded in the final client documents supplied for this application. | Initial _____ |
| 10 | I/we understand that my/our direct rights and obligations arise from the accepted application, applicable platform or nominee terms, Series Supplement, final fee disclosure and any separately signed client mandate. This application does not create direct Limited Partner status or discretionary authority. | Initial _____ |

| APPLICANT / AUTHORISED SIGNATORY | FULL NAME | CAPACITY |
|---|---|---|
| *[Sign]* | *[Print]* | *[If entity]* |
| **SIGNED AT** | **DATE** | **WITNESS / SECOND SIGNATORY** |
| *[Place]* | *[DD / MM / YYYY]* | *[If required]* |

ELECTRONIC SIGNATURE  Electronic signature and acceptance may be used only through an approved process that preserves identity, authority, consent, the completed document and a reliable audit trail.

PLATFORM · Series A · DOCUMENT CHECKLIST & ACCEPTANCE

# Supporting documents and participation decision

Tick the applicant route and attach all documents required by the administrator's current risk-based RMCP.

| NATURAL PERSON | JURISTIC / TRUST / PARTNERSHIP |
|---|---|
| ☐ **Certified ID or passport and immigration/visa evidence if applicable** | ☐ Constitutive / registration / founding documents |
| ☐ **Current residential-address evidence or approved alternative** | ☐ Registered and operating-address evidence |
| ☐ **Applicant bank-account evidence** | ☐ Resolution, mandate or letters of authority for signatories |
| ☐ **SA tax number and FATCA/CRS self-certification** | ☐ Directors, members, trustees, partners and authorised persons - identity/address evidence |
| ☐ **Source-of-funds and source-of-wealth evidence** | ☐ Complete ownership/control chart and ultimate beneficial-owner evidence |
| ☐ **Prominent-person / sanctions details where applicable** | ☐ Entity bank-account evidence |
| ☐ **Spouse/guardian/authority evidence where legally required** | ☐ Entity tax, VAT, FATCA/CRS classification, GIIN and controlling-person self-certifications |
| ☐ Signed application, platform or nominee terms acknowledgement, Series Supplement acknowledgement and Record of Advice where applicable | ☐ Source-of-funds/source-of-wealth and prominent-person/sanctions evidence |
| | ☐ Signed application, platform or nominee terms acknowledgement, Series Supplement acknowledgement and Record of Advice where applicable |

**RISK-BASED EVIDENCE** The checklist is not exhaustive. Compliance may request additional or updated information, translations, certifications, ownership evidence, financial records or enhanced due diligence before or during the relationship.

## SENTRY CAPITAL AND PLATFORM ACCEPTANCE

| | | |
|---|---|---|
| DECISION<br>☐ Accepted ☐ Conditionally accepted<br>☐ Declined | EFFECTIVE DATE<br>[DD / MM / YYYY] | INVESTOR / ACCOUNT REFERENCE<br>[Enter] |
| AUTHORISED SIGNATORY<br>[Name and signature] | CAPACITY<br>[Enter] | DATE<br>[DD / MM / YYYY] |

PLATFORM ACCEPTANCE Participation begins only after all required platform, administrator and Sentry Capital approvals and written product, route and funding confirmation. This application does not create direct Limited Partner status.